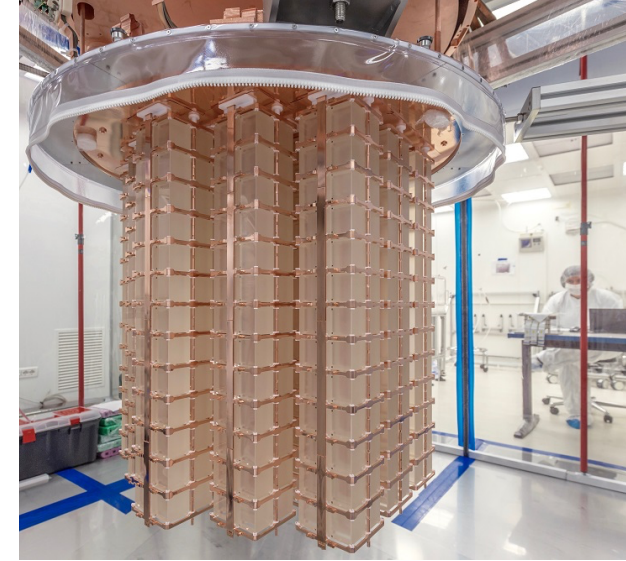
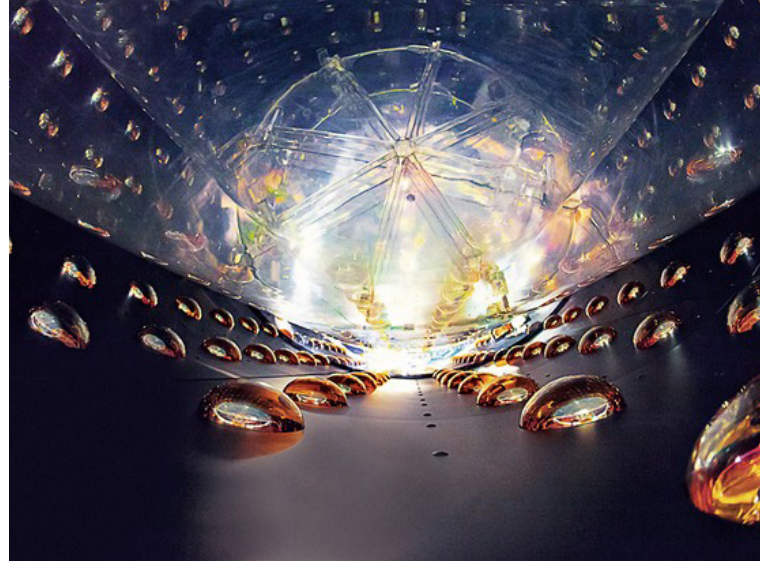
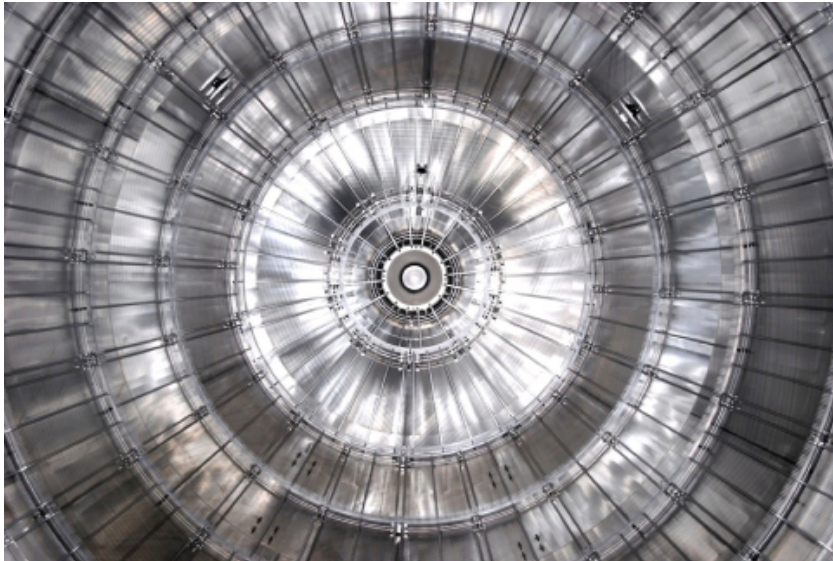


# Introduction to Neutrino Physics and Neutrino Masses

Annual Retreat of RTG 2149 “*Strong and Weak Interactions – From Hadrons to Dark Matter*”  
Marienheide, 25-28 Sept. 2017

KATHRIN VALERIUS, KIT Center Elementary Particle and Astroparticle Physics (KCETA)



# What's on the menu today?



## ■ Introduction & overview:

How do we know neutrinos exist?

How do they interact?

How do we know they have a mass?

What is their role in our Universe?

## ■ Probing the neutrino mass with lab experiments

- Search for neutrinoless double beta decay ( $0\nu\beta\beta$ )

- Direct kinematical searches ( ${}^3\text{H}$   $\beta$ -decay,  ${}^{163}\text{Ho}$  EC)

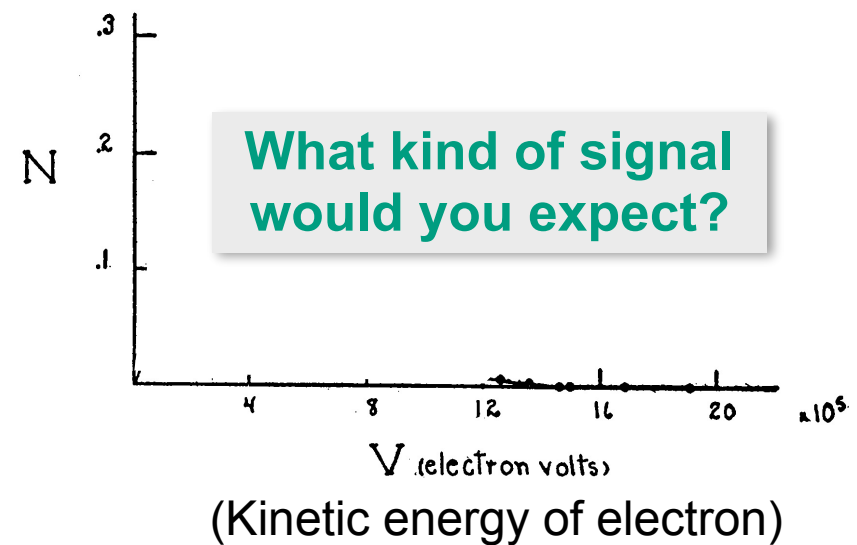
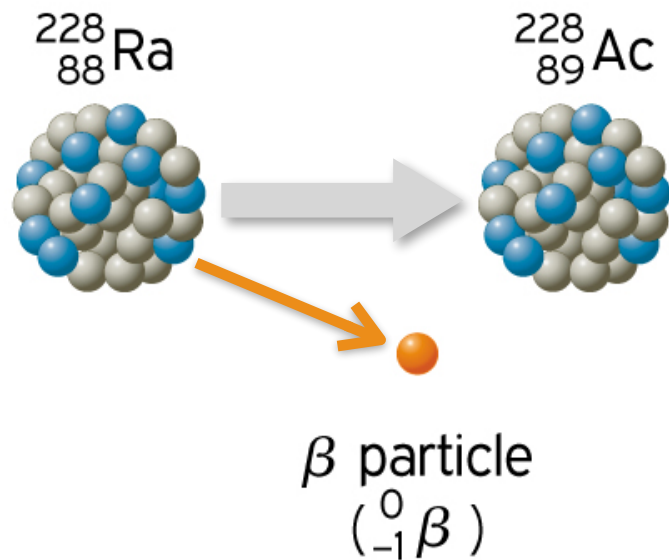
## ■ Summary & future prospects



# I. Discovery

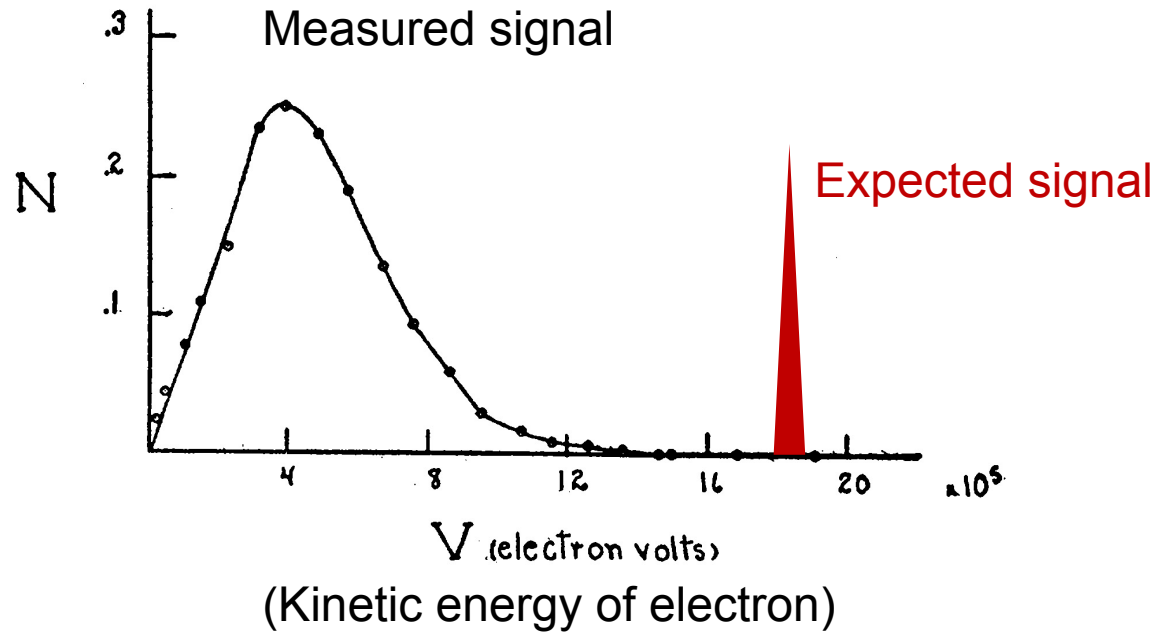
= postulation & detection  
of neutrinos

# Imagine you were a physicist in the 1920s ...





# The problem of the beta-decay spectrum



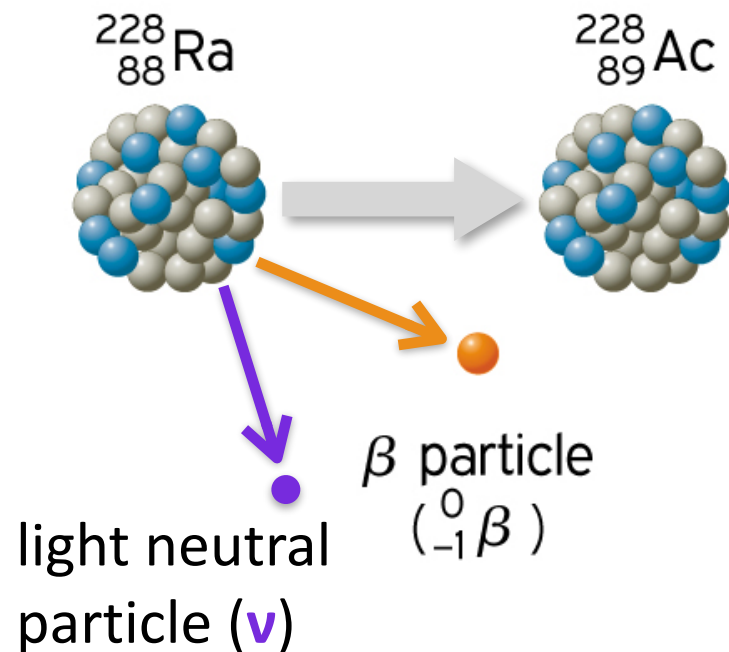
Perhaps energy is not conserved in nuclear decays?

KEEP CALM AND STUDY NUCLEAR PHYSICS



# Enter the neutrino

**1930: Wolfgang Pauli's "desperate remedy"** to solve the problem of apparent violation of energy & momentum conservation in  $\beta$ -decay  
postulation of **new particle**: neutral, spin  $\frac{1}{2}$ , **weak interaction**



4 December 1930  
Gloriastr.  
Zürich

Physical Institute of the  
Federal Institute of Technology (ETH)  
Zürich

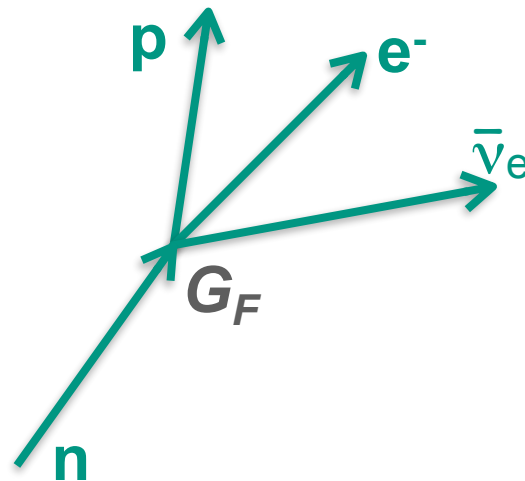
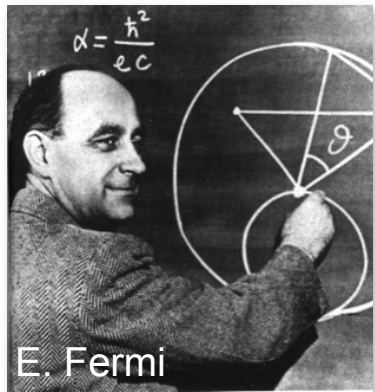
Dear radioactive ladies and gentlemen,

As the bearer of these lines, to whom I ask you to listen graciously, will explain more exactly, considering the 'false' statistics of N-14 and Li-6 nuclei, as well as the continuous  $\beta$ -spectrum, I have hit upon a desperate remedy to save the "exchange theorem"\* of statistics and the energy theorem. Namely [there is] the possibility that there could exist in the nuclei electrically neutral particles that I wish to call neutrons,\*\* which have spin  $1/2$  and obey the exclusion principle, and additionally differ from light quanta in that they do not travel with the velocity of light: The mass of the neutron must be of the same order of magnitude as the electron mass and, in any case, not larger than 0.01 proton mass. The continuous  $\beta$ -spectrum would then become understandable by the assumption that in  $\beta$  decay a neutron is emitted together with the electron, in such a way that the sum of the energies of neutron and electron is constant.

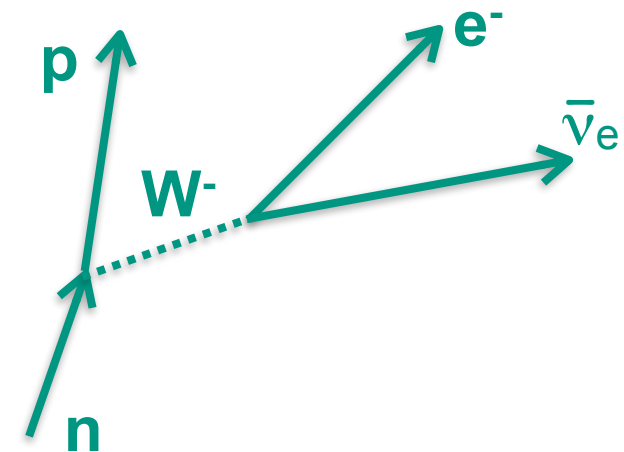
# Enter the neutrino

**1933/34: Enrico Fermi's seminal theory of  $\beta$ -decay as a 4-point interaction**

→ foundation of modern weak interaction framework

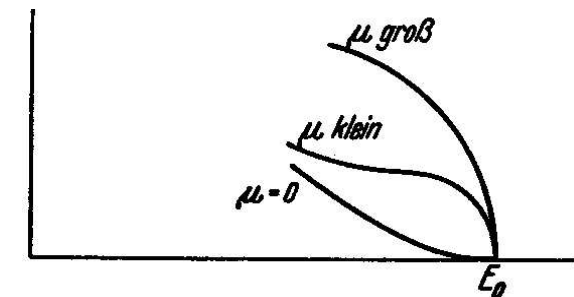


**1933: Fermi 4-point interaction**  
 contact of 2 vector currents  
 $\sigma \sim G_F^2 E^2$  grows without bound

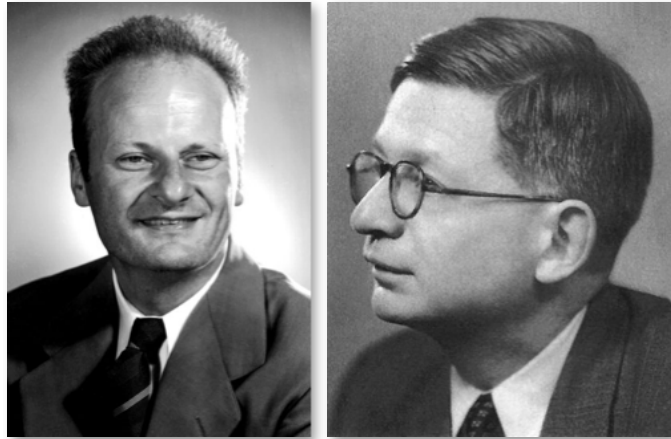


**1938: boson mediation** (Yukawa, Klein *et al.*)  
 mitigates divergence of  $\sigma$  at high energies  
 later: parity-violating currents, V-A structure

- Fermi changed the name to “neutrino”
- He also proposed a way to measure its rest mass:

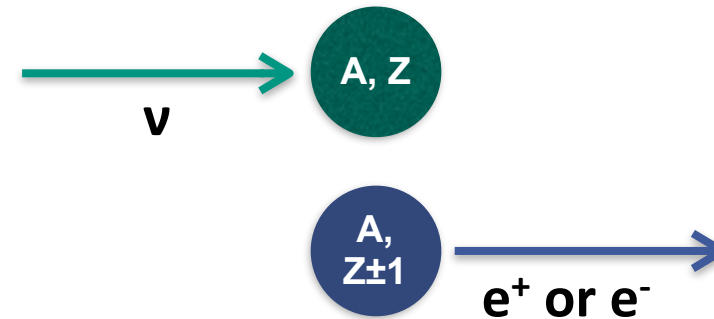


# Can neutrinos be detected?



**1934:** H. Bethe & R. Peierls calculate the neutrino interaction cross section

reversing the  $\beta$ -decay process:



- $\sigma \sim 10^{-44} \text{ cm}^2$  at energies of a few MeV:  
penetrating power of  $\sim 10^{16}$  km in solid matter  
→ “absolutely impossible to observe (...) neutrinos from nuclear transformations”
- Fermi theory predicts  $\sigma \sim (E_\nu)^2$   
→ even at cosmic-ray energies, detection deemed “highly improbable”

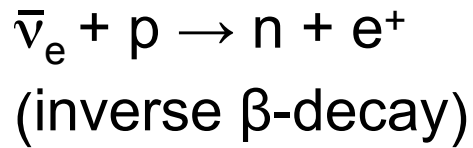
Conclusion: “no practically possible way of observing the neutrino”



# How to catch the neutrino?

## 1. proposed reaction

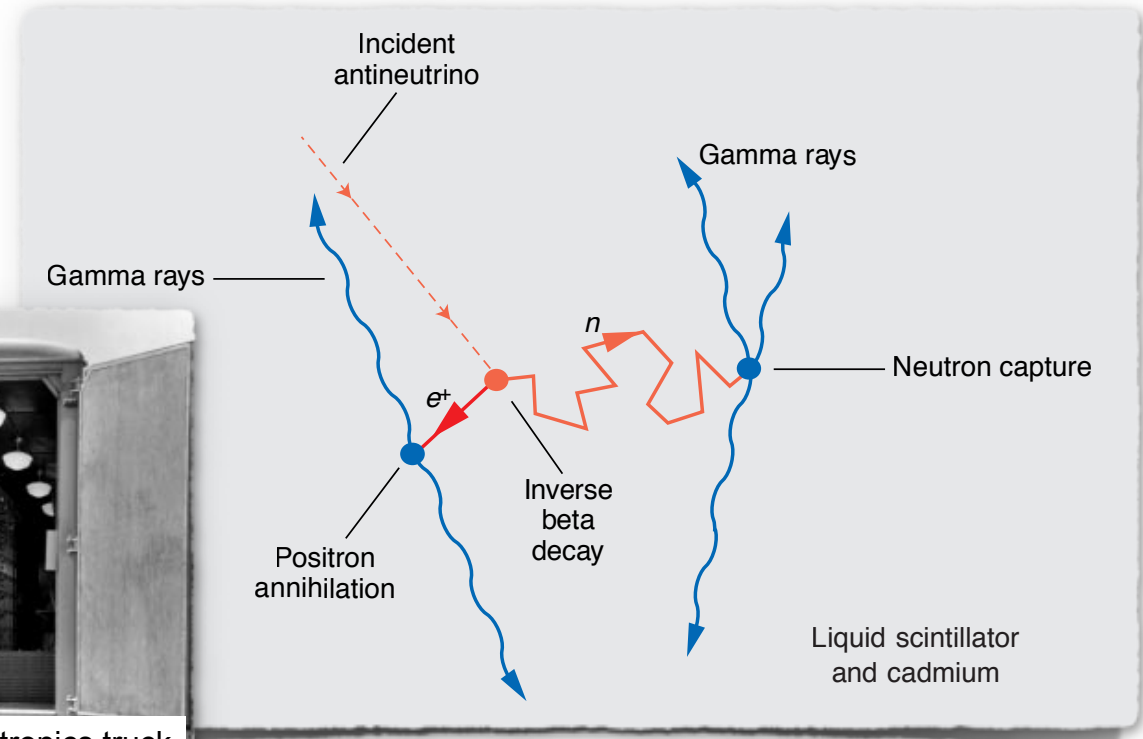
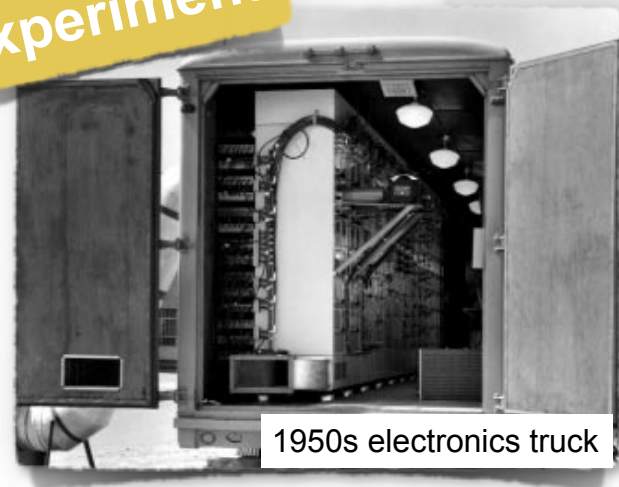
theory



## 2. detection technique

experiment

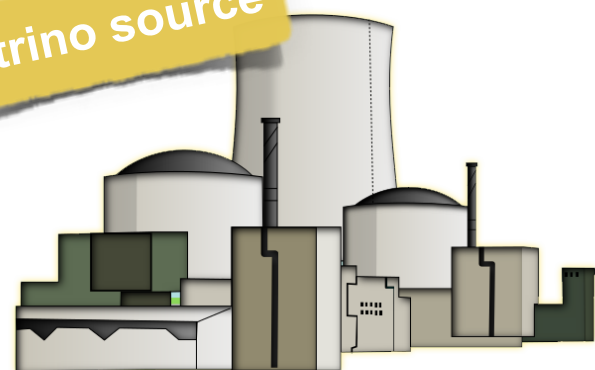
delayed coincidence of  $e^+$  and  $n$  signals in liquid scintillator



## 3. event statistics

neutrino source

$\beta$ -decay of neutron-rich fission products in nuclear reactors



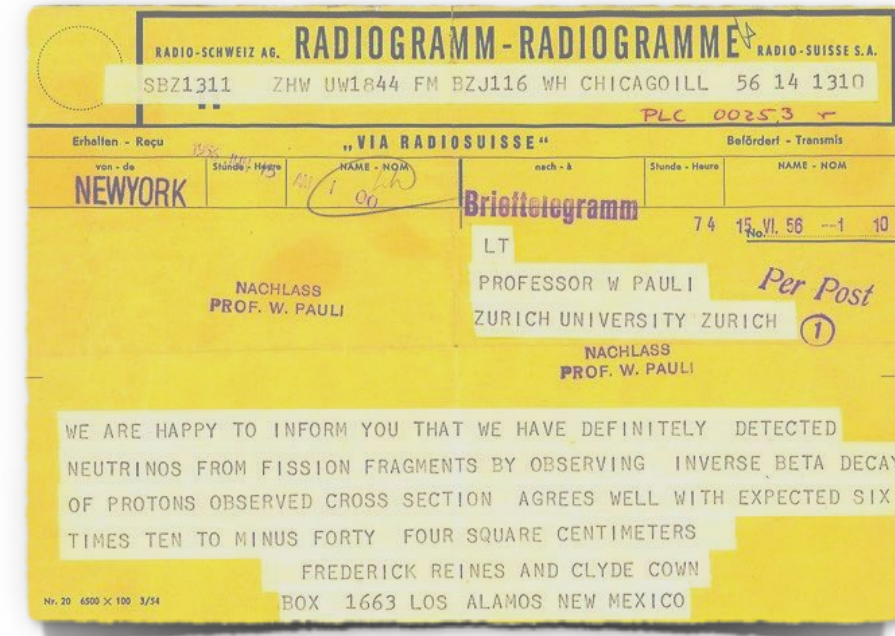
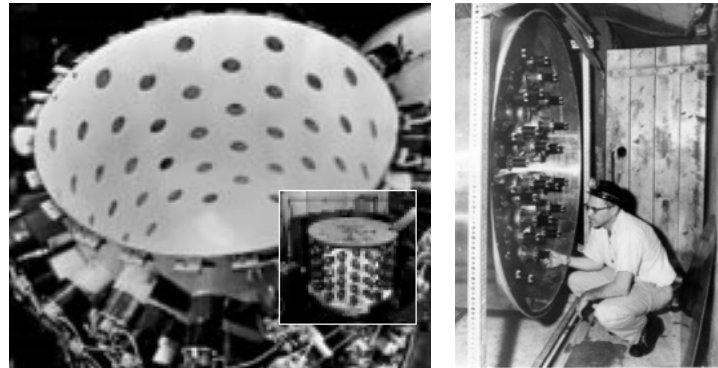
working principle of numerous neutrino experiments from the 1950s until today!

# First detection of neutrinos

*"Because everybody said you couldn't do it"*

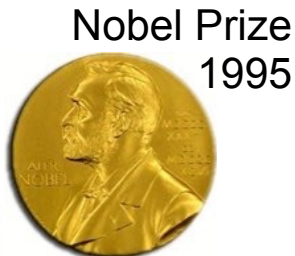
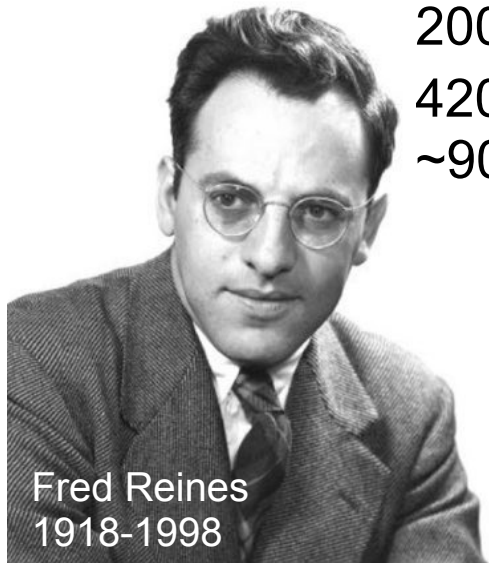
— Fred Reines

**Hanford 1954:**  
 "Herr Auge"  
 300 ℓ liquid scintillator  
 with 90 PMTs

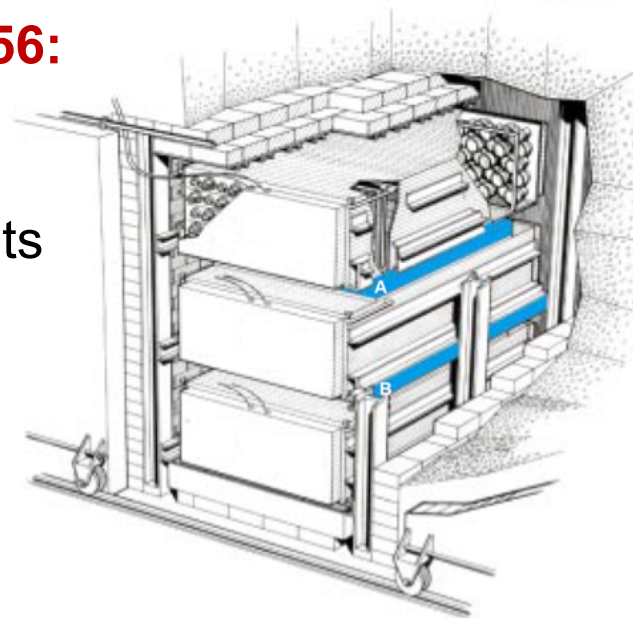


**Savannah River 1956:**

200 ℓ H<sub>2</sub>O-target  
 4200 ℓ scintillator  
 ~900 h measurements



Fred Reines  
 1918-1998



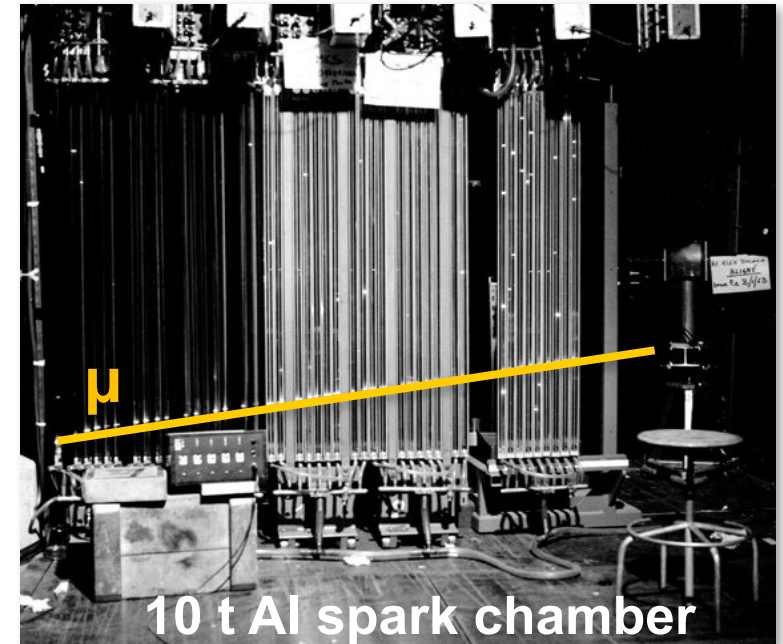
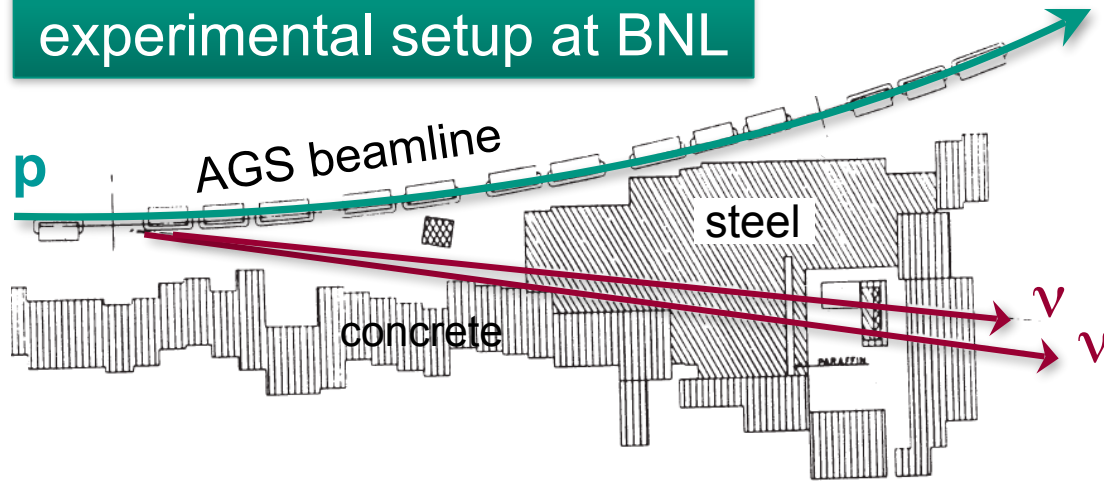


# AGS-experiment: the second neutrino $\nu_\mu$

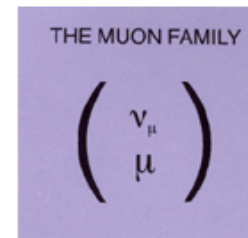
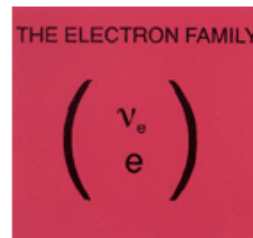
1962: identity of neutrinos from pion decay

$$\pi^+ \rightarrow \mu^+ + \nu \quad \nu = \nu_\mu \text{ or } \nu_e ?$$

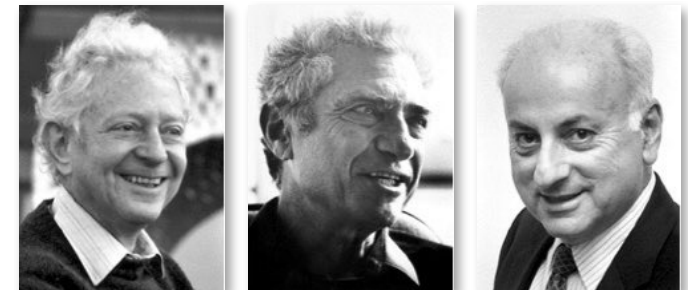
experimental setup at BNL



- introduction of neutrino beam technology
- $10^{17}$  neutrinos produced
- 51 events observed: **muons**, not **electrons**!
- doublet structure of leptons:



Nobel Prize 1988



Leon M. Lederman      Jack Steinberger      Melvin Schwartz

# The DONUT experiment: the third neutrino $\nu_\tau$

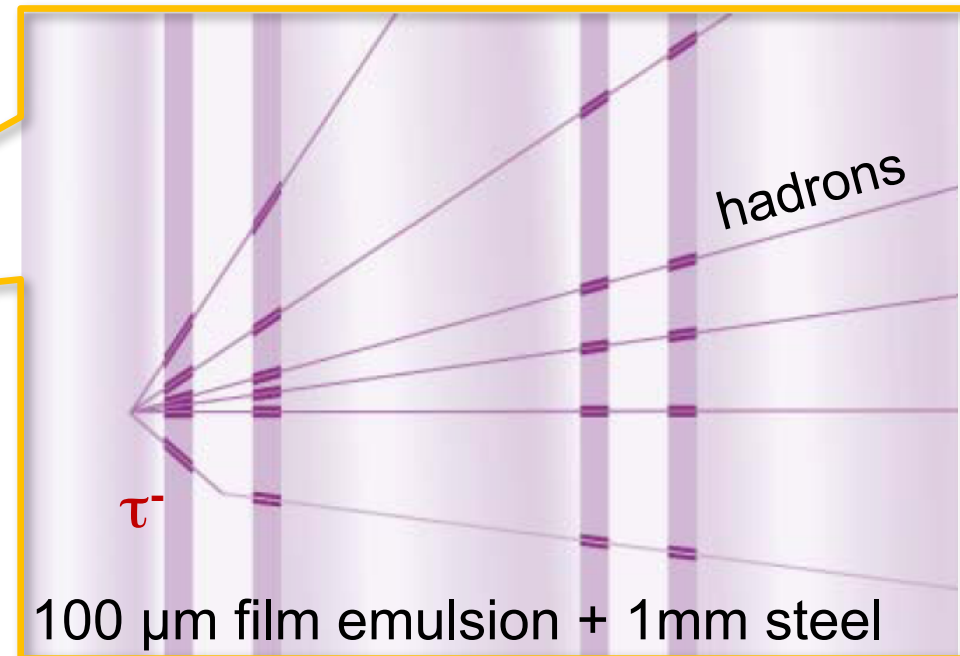
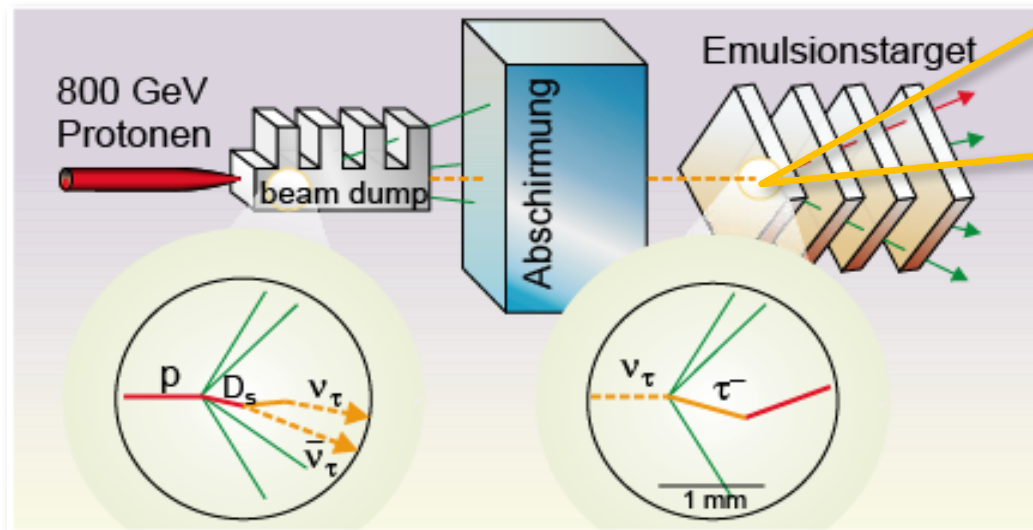
**2000: Direct Observation of NU Tau (DONUT)**

proton beam on tungsten target produces  $D_s$ -mesons ( $c\bar{s}$ )

**Results: 4 events** identified with topology of a  $\nu_\tau$  :  **$\tau$ -kink**

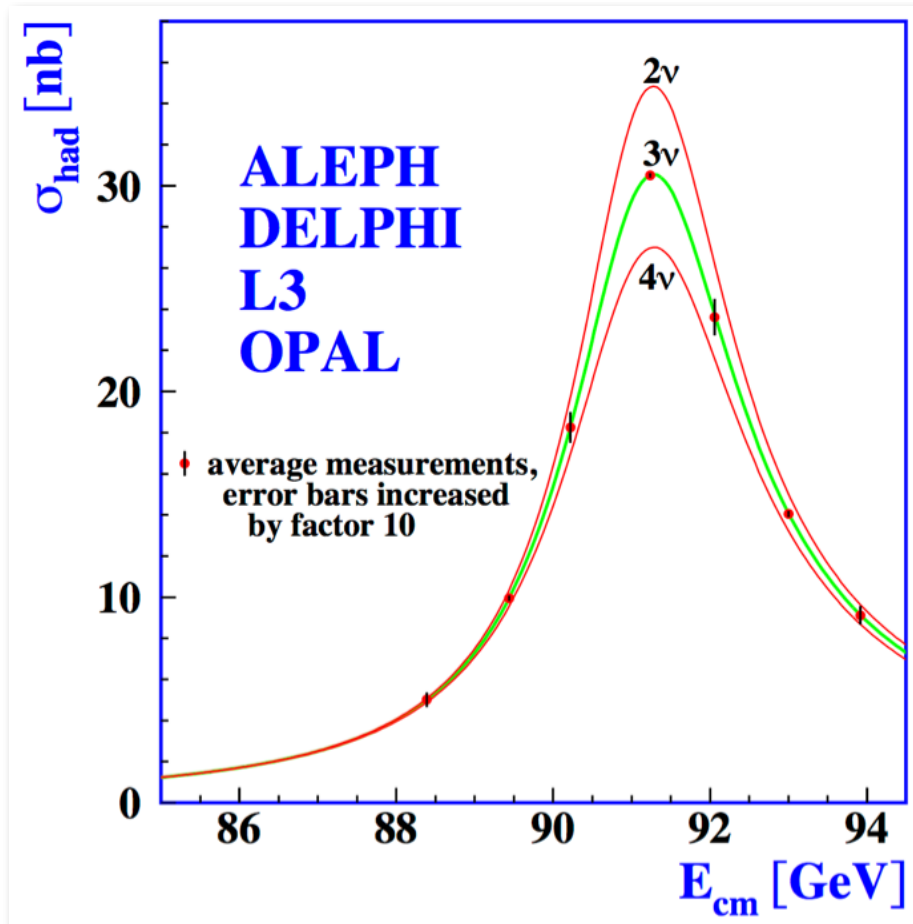
$\tau$  life time:  $\tau = 3 \times 10^{-13}$  s, range  $c\tau = \text{few mm}$

## DONUT experiment at Fermilab





# Are these all neutrino families?



## Precision $Z^0$ parameters at $e^+e^-$ colliders

- Total  $Z^0$  width:  $\Gamma_{\text{tot}} = 2495(2) \text{ MeV}$
- Visible modes:  
 $e, \mu, \tau$ , and  $u, d, s, c, b$  pairs
- Invisible modes:  $\Gamma_{\text{inv}} = 499(2) \text{ MeV}$
- $\nu$  partial width,  $Z^0 \rightarrow \nu_\alpha + \bar{\nu}_\alpha$ :  
 $\Gamma_\nu = 167.1 \text{ MeV}$
- $N_\nu = 2.99$

	I	II	III	
Quarks	$u$	$c$	$t$	$\gamma$
	$d$	$s$	$b$	$g$
	$\nu_e$	$\nu_\mu$	$\nu_\tau$	$Z$
Leptons	$e$	$\mu$	$\tau$	$W$

Force Carriers

Three Generations of Matter

- No room for extra neutrino with SM couplings up to  $m = M_Z/2$
- Still room for new ideas, e.g. sterile neutrino states at eV ... keV ... GeV scales!

## II. Neutrino interactions\*

Remember what we've learned since the first detection of neutrinos:

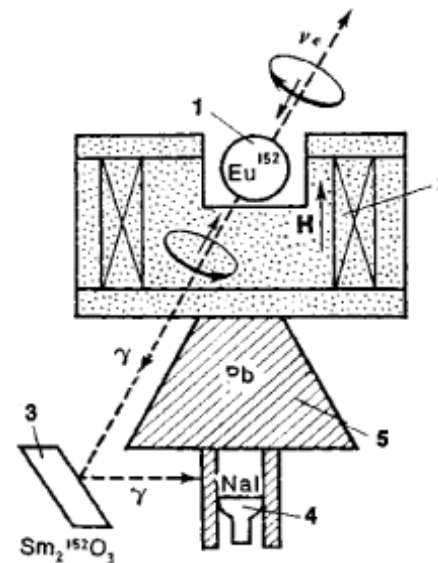
Parity violation in weak int.

Wu *et al.*, 1956



Helicity of neutrinos

Goldhaber *et al.*, 1957



Existence of neutral currents

Gargamelle, CERN, ca. 1973

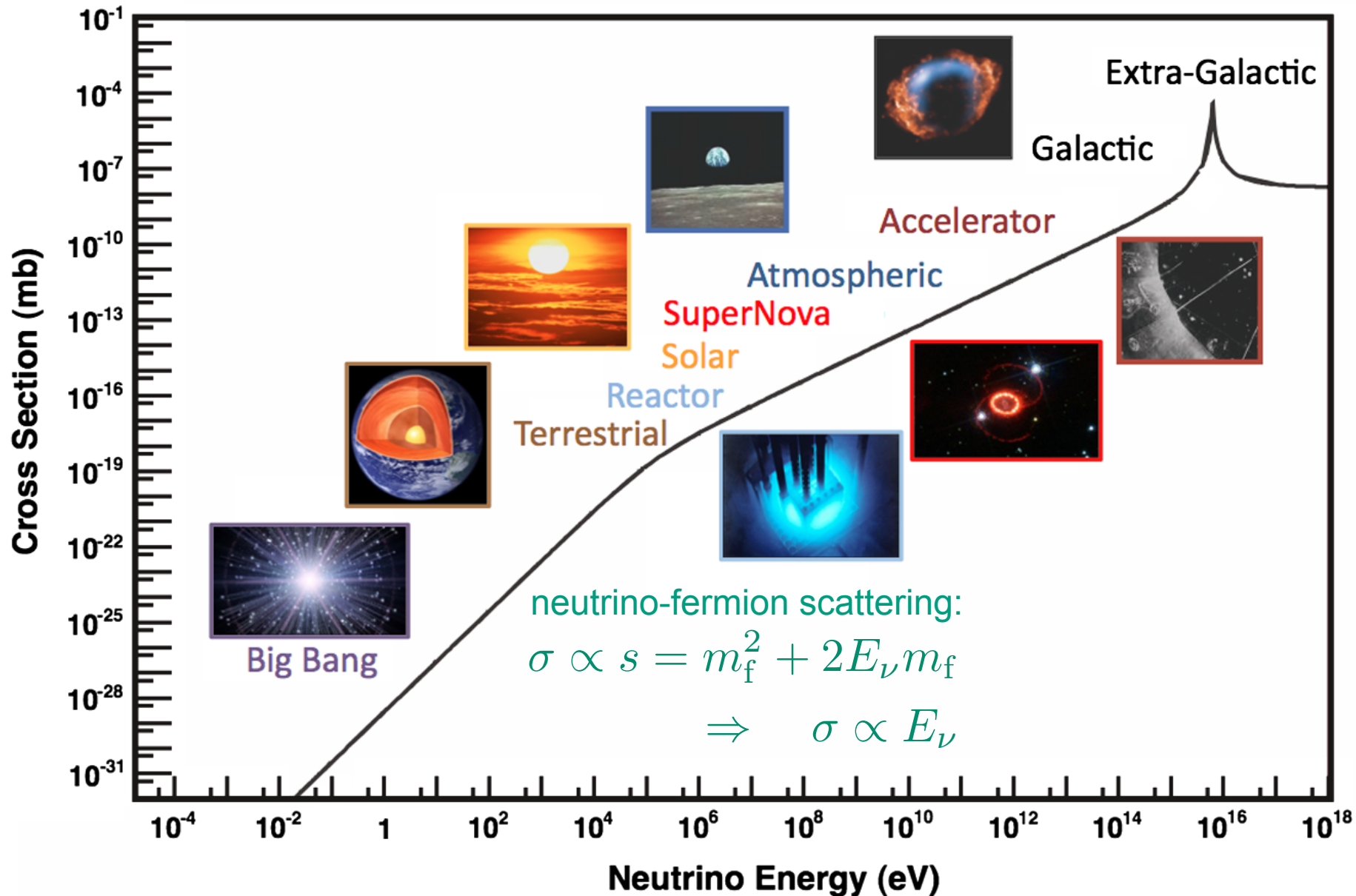


$$\nu_{\mu} + N \rightarrow \nu_{\mu} + X$$

\*) status update: summer 2017

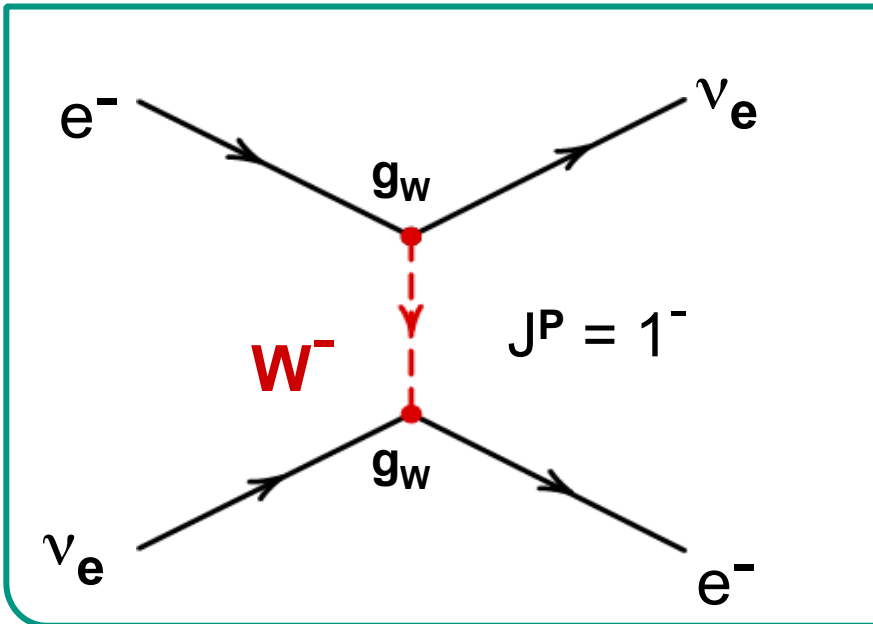
# Energy dependence of the cross section

Example: elastic scattering  $\bar{\nu}_e e^- \rightarrow \bar{\nu}_e e^-$



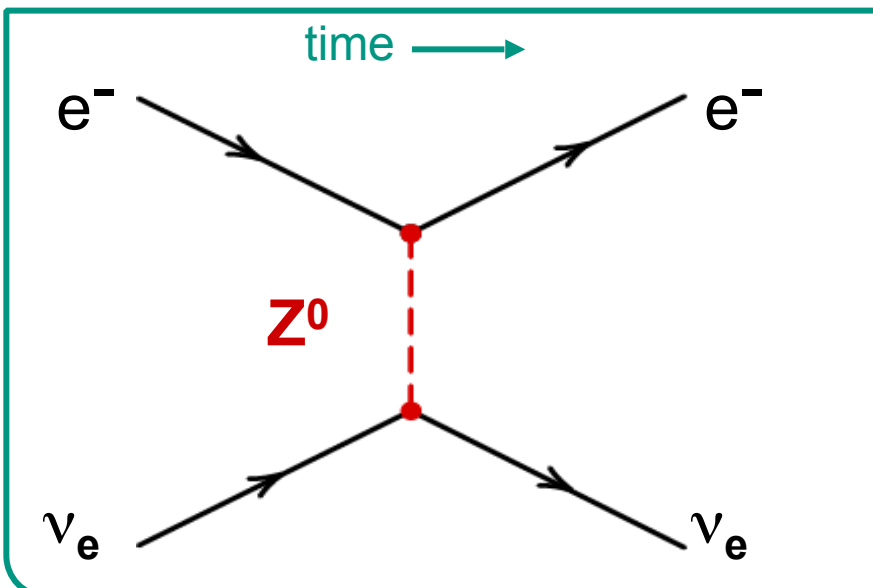
# Intermediate vector bosons: CC & NC

Example: neutrino-electron scattering:  $\sigma(\nu_e e \rightarrow \nu_e e) = \pi^{-1} \cdot G_F^2 \cdot s$



## charged currents (CC)

- charge transfer via exchange of charged  $W^+ / W^-$  bosons ( $M = 80.4 \text{ GeV}$ )
- induces transitions in in the electroweak isospin doublet ( $u \Leftrightarrow d'$ ) ( $e^- \Leftrightarrow \nu_e$ )



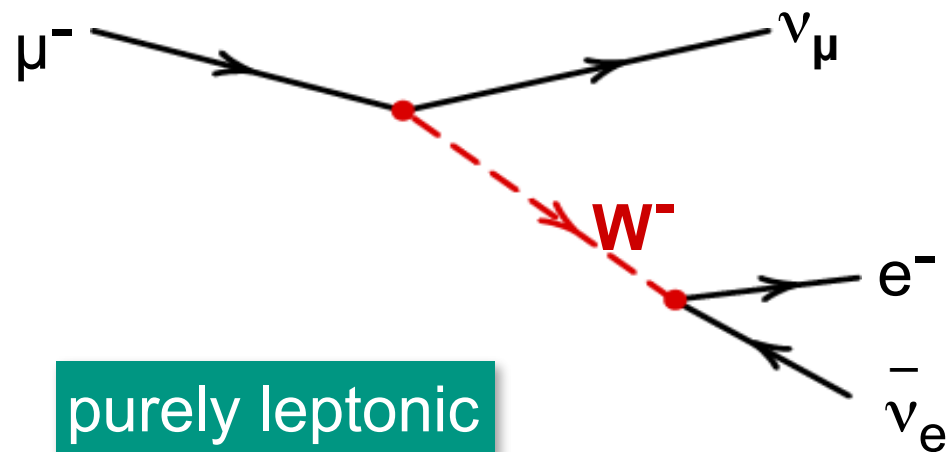
## neutral currents (NC)

- no charge transfer, exchange of the neutral  $Z^0$  bosons ( $M = 91.2 \text{ GeV}$ )
- flavour universality of the NC: identical coupling  $\nu_e, \nu_\mu, \nu_\tau$  with  $Z^0$



# Leptonic & semi-leptonic reactions

Reactions of the weak interaction in astroparticle physics:



purely leptonic

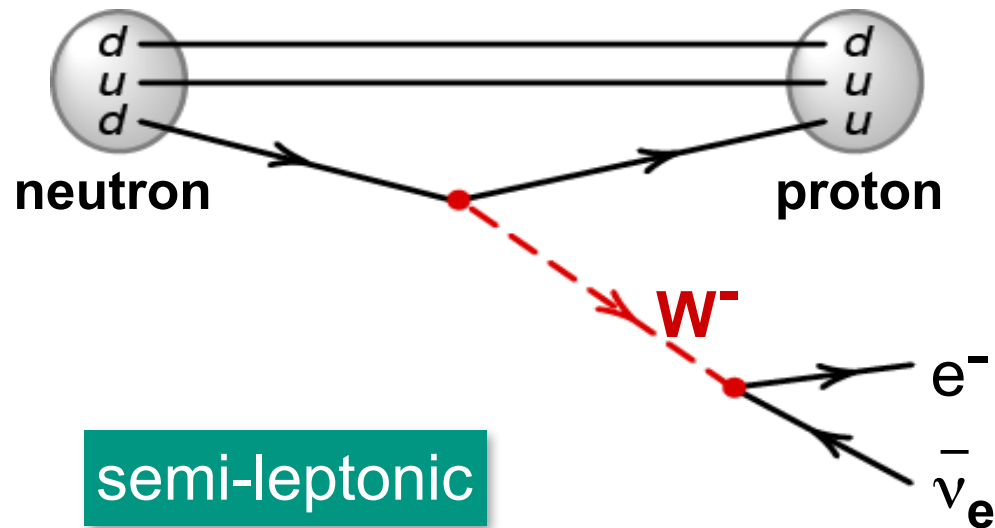
## $\beta$ -decay of muons

$$\mu^- \rightarrow e^- + \bar{\nu}_e + \nu_\mu$$

$$\mu^+ \rightarrow e^+ + \nu_e + \bar{\nu}_\mu$$

$$\tau = 2.2 \mu\text{s}$$

**atmospheric/accelerator  $\nu$**



semi-leptonic

## $\beta$ -decay of neutrons

$$n \rightarrow p + e^- + \bar{\nu}_e$$

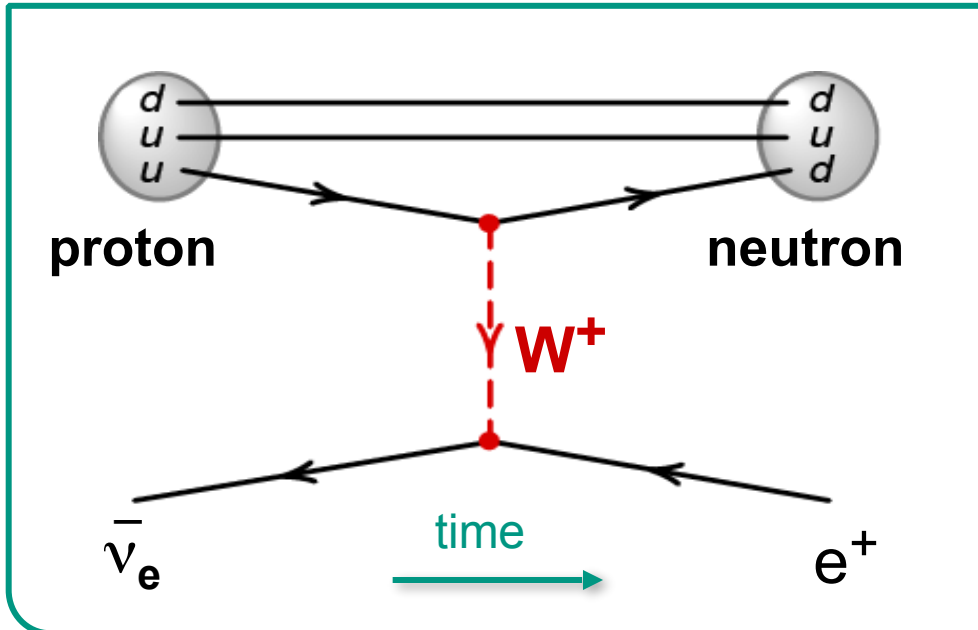
$$\tau \approx 900 \text{ s}$$

$d \rightarrow u$  : quark flavour transition

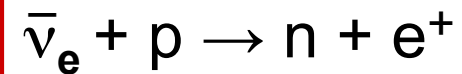
**reactor neutrinos from  $\beta$ -decays**

# Semi-leptonic reactions

Other important semileptonic CC-reactions in astroparticle physics:

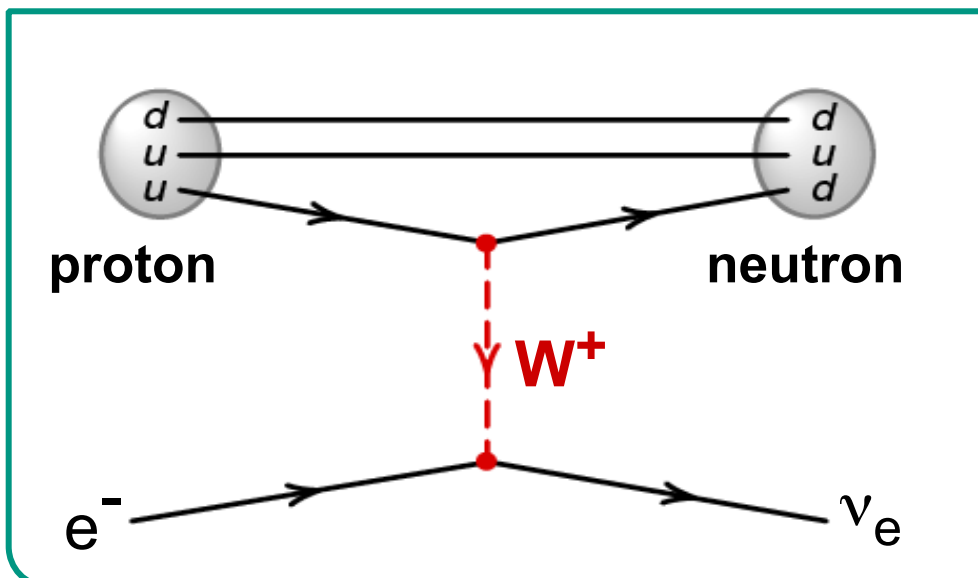


## inverse $\beta$ -decay

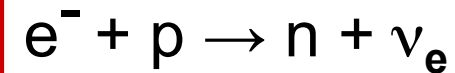


“classical” detection reaction:

- oscillation experiments at reactors, spallation sources & accelerators
- SN explosions, BBN, geoneutrinos, ...



## electron capture



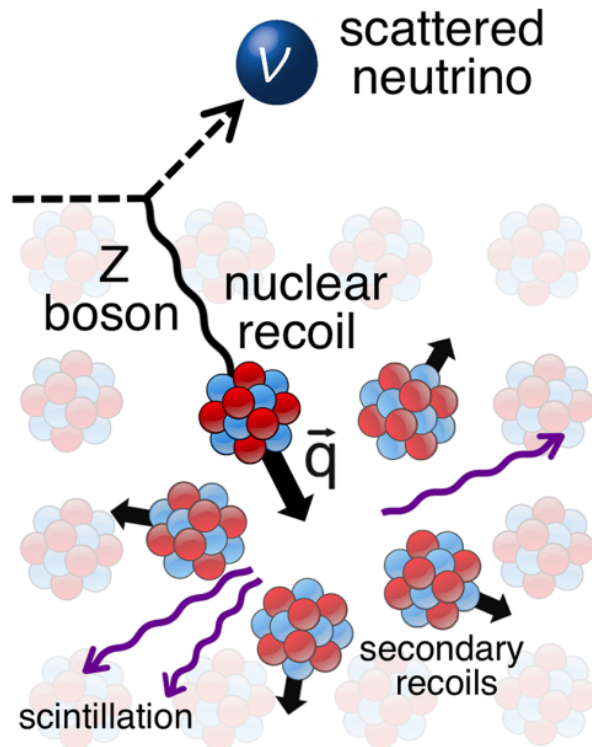
on free p and  
on p in nuclei

- SNIa: neutronisation / core-collapse radioactive decay ( $^{56}\text{Ni}$ ,  $^{56}\text{Co}$ )
- BBN: thermodynamical equilibrium

Cite as: D. Akimov *et al.*, *Science*  
10.1126/science.aao0990 (2017).

## Observation of coherent elastic neutrino-nucleus scattering

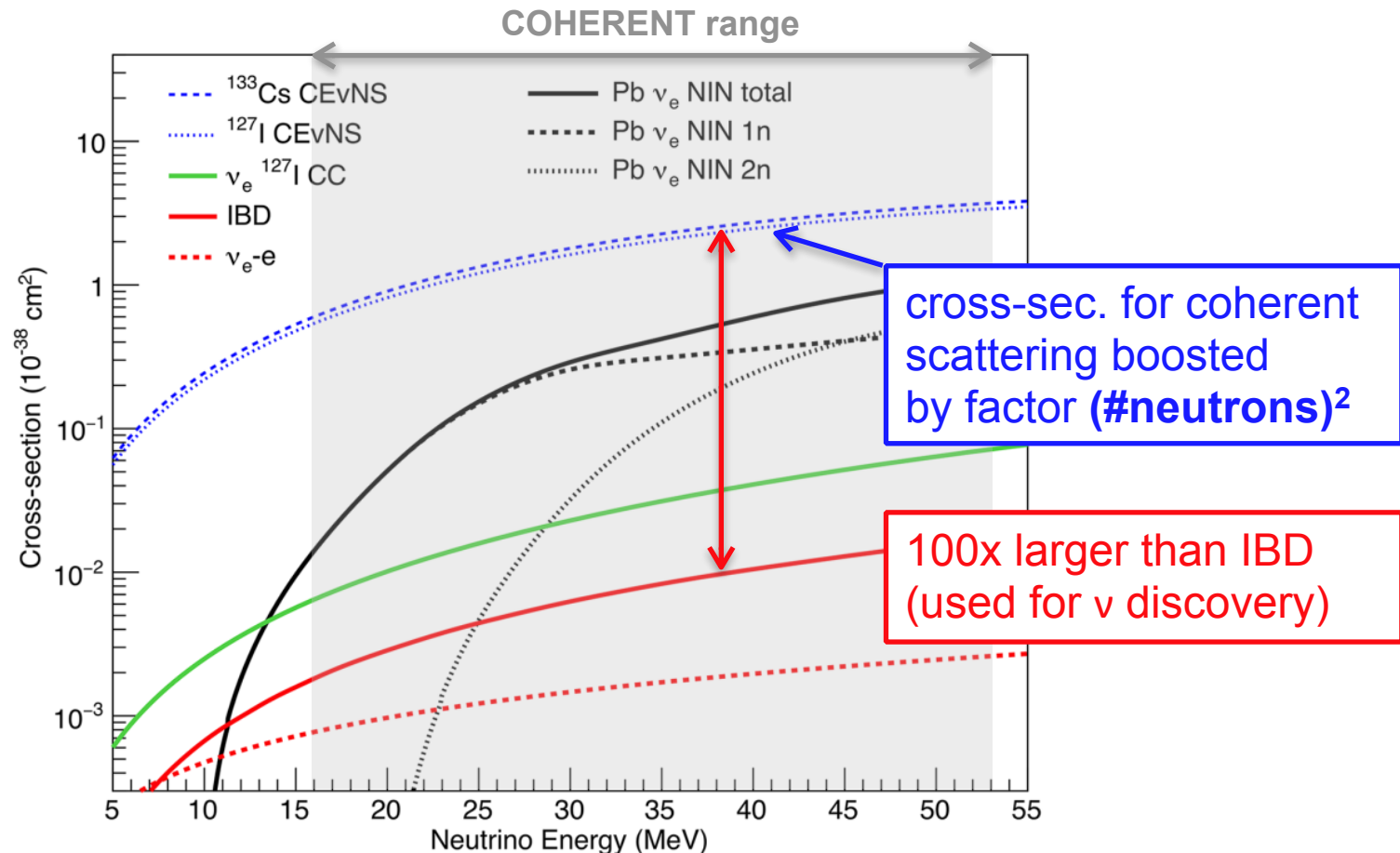
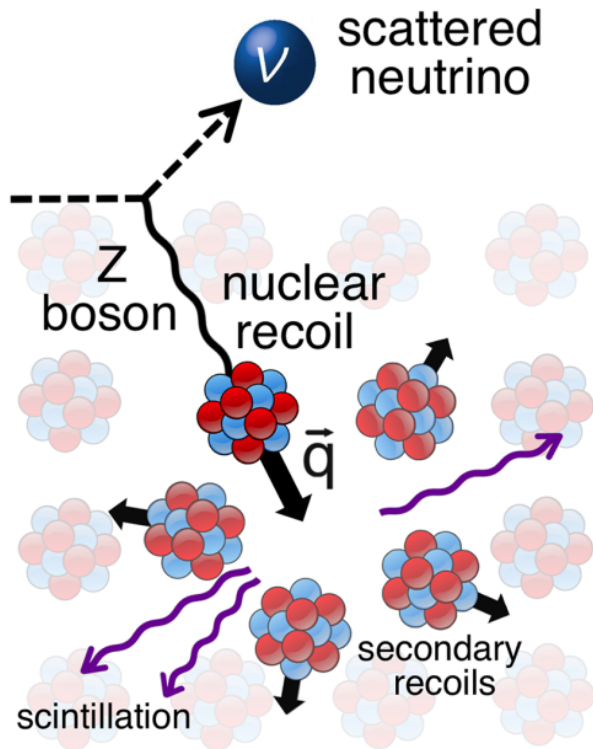
- At low momentum transfer ( $qR_{\text{nuc}} < 1$ ) long-wavelength Z boson can probe entire nucleus
- Proposed by Freedman *et al.*, PRD 9 (1974) 1389



Cite as: D. Akimov *et al.*, *Science* 10.1126/science.aao0990 (2017).

# Observation of coherent elastic neutrino-nucleus scattering

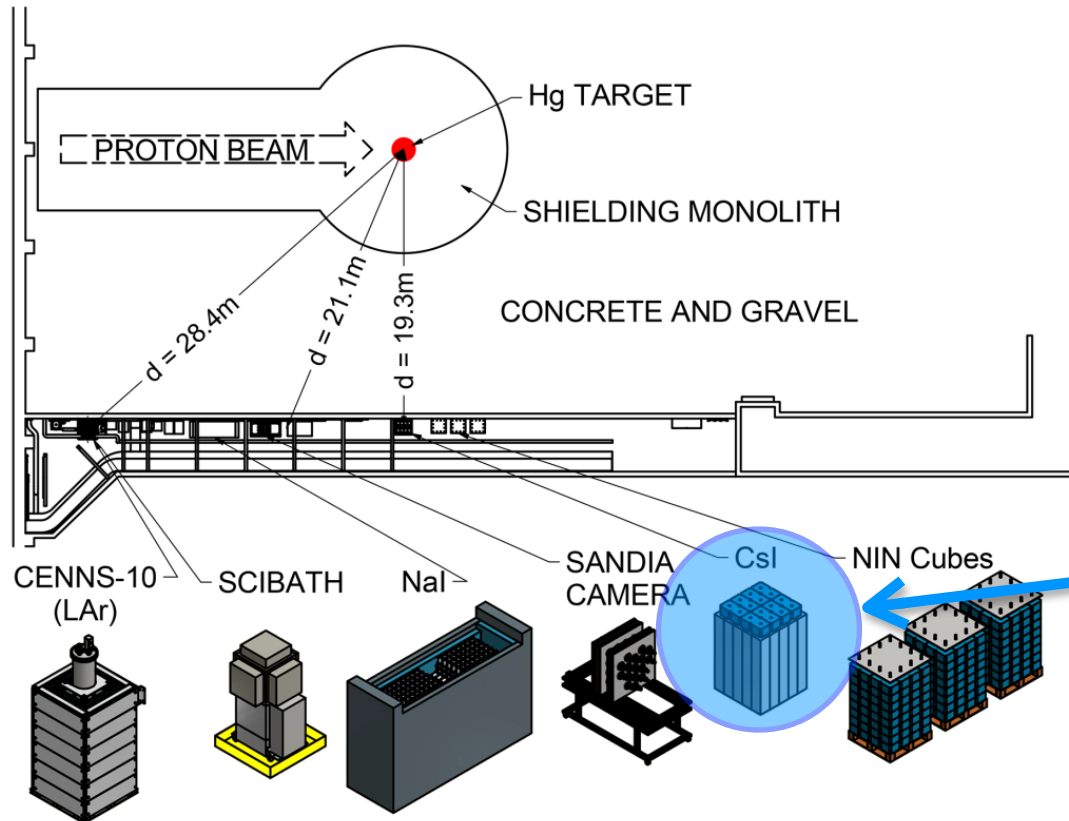
- At low momentum transfer ( $qR_{\text{nuc}} < 1$ ) long-wavelength Z boson can probe *entire* nucleus
- Proposed by Freedman *et al.*, PRD 9 (1974) 1389





# The COHERENT experiment

## “Neutrino Alley” at the Spallation Neutron Source (SNS)



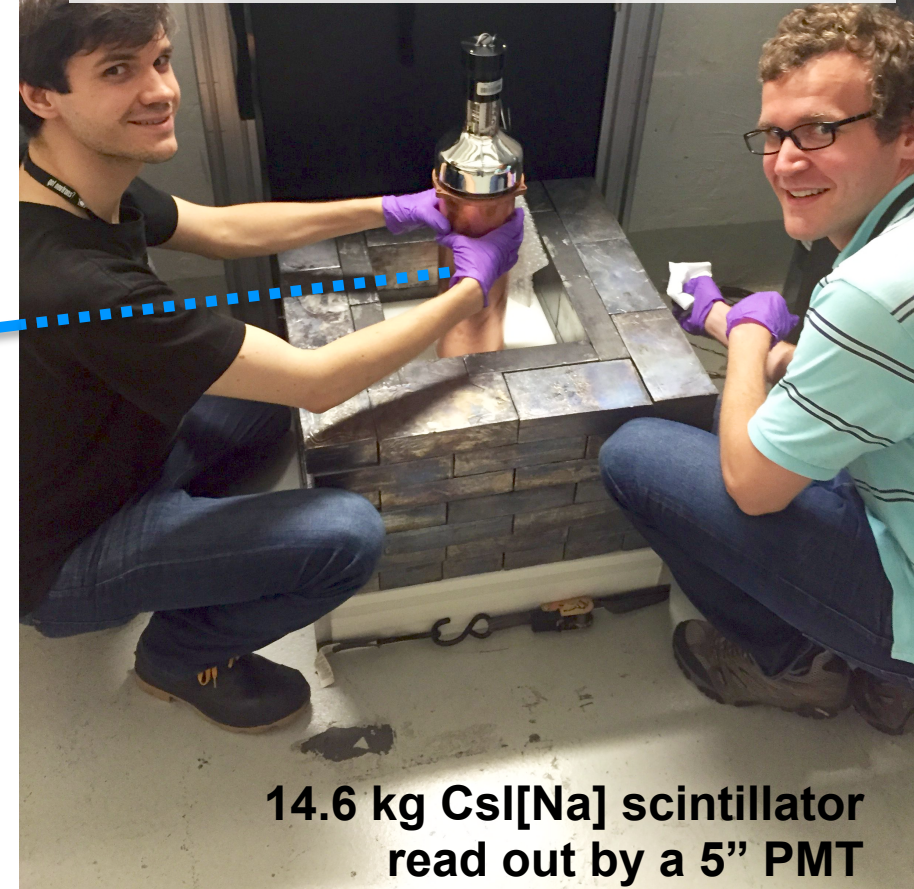
- Pulsed neutron beam ( $f = 60 \text{ Hz}$ ,  $\Delta t = 1 \mu\text{s}$ )
- Neutrino flux  $\sim 10^{11} \nu / \text{cm}^2 / \text{s}$
- Neutrino energies up to  $\sim 50 \text{ MeV}$



## A neutrino detector the size of a milk can!

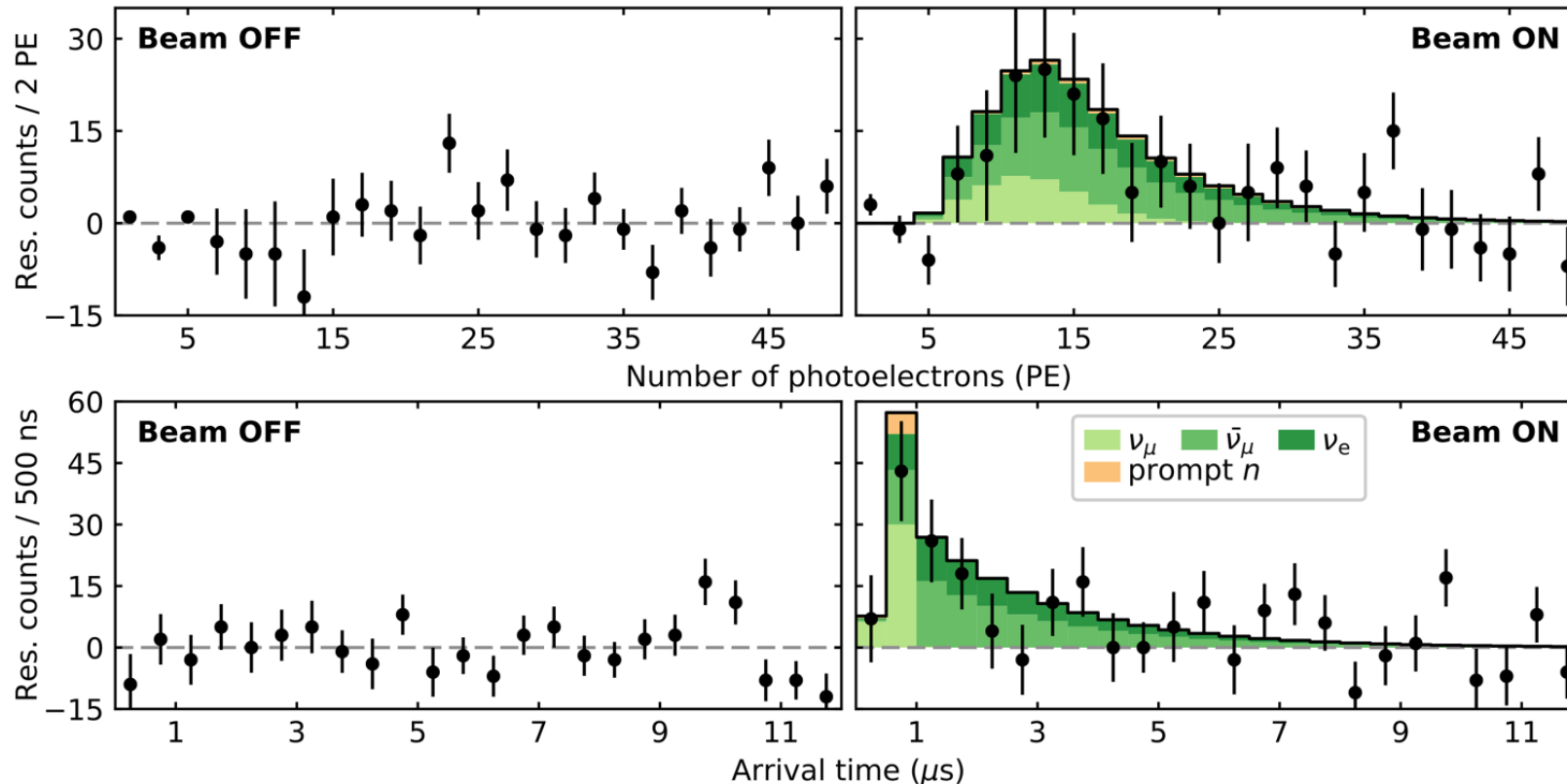
Target material:

- Scintillating crystal
- Large nuclear mass  $A$ : cross-section boost  $\leftrightarrow$  recoil energy



**14.6 kg CsI[Na] scintillator read out by a 5" PMT**

# First COHERENT results



Time & energy distributions match SM expectation

**Observed:**  
 $134 \pm 22$  events  
**Predicted:**  
 $173 \pm 48$  events

Many more experiments under way!

- **6.7 $\sigma$  detection** of Coherent Elastic  $\nu$  Nucleus scattering (CEvNS)
- Implications for  $\nu$  detectors (minimization)
- Constrains non-standard interactions between neutrinos and quarks (new mediators)
- Prospects for sterile  $\nu$  search,  $\nu$  magnetic moment, nuclear structure, ...